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PTO/SB/08A (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	
		Filing Date	
		First Named Inventor <b>Carrel W. EWING, et al.</b>	
		Group Art Unit	
Examiner Name			
Attorney Docket Number <b>MLF-600-14</b>			
Sheet <b>1</b>	of <b>4</b>		

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
[Signature]	AA	4,051,326		Badagnani, et al.	09/27/1977	
	AB	4,206,444		Ferlan	06/03/1980	
	AC	4,356,545		West	10/26/1982	
	AD	4,442,319		Treidl	04/10/1984	
	AE	4,611,289		Coppola	09/09/1986	
	AF	4,647,721		Busam, et al.	03/03/1987	
	AG	4,701,946		Oliva, et al.	10/20/1987	
	AH	5,198,806		Lord	03/30/1993	
	AI	5,319,571		Langer, et al.	06/07/1994	
	AJ	5,410,713		White, et al.	04/25/1995	
	AK	5,506,573		Ewing, et al.	04/09/1996	
	AL	5,596,628		Klein	01/21/1997	
	AM	5,717,934		Pitt, et al.	02/10/1998	
	AN	5,862,391		Salas, et al.	01/19/1999	
	AO	5,938,771		Williams, et al.	08/17/1999	
	AP	5,949,974		Ewing, et al.	09/07/1999	
	AQ	5,982,652		Simonelli, et al.	11/09/1999	

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Office <sup>3</sup>	Number <sup>4</sup> (if known)				
AP	BA		WO 93/10615	Server Technology, Inc.	27 May 1993		

Examiner Signature		Date Considered	10/16/99
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1448B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	
		Filing Date	
		First Named Inventor	Carrel W. EWING, et al.
		Group Art Unit	
		Examiner Name	
Sheet 2	of 4	Attorney Docket Number	MLF-600-14

09/30/01  
08/15/01  
U.S. PTO

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	AMERICAN POWER CONVERSION, "Call-UPS™", 1991, #996-0070, APC, West Kingston, RI 02892USA.	
	CB	AMERICAN POWER CONVERSION, "Measure-UPS™", March 1993, #996-0127, APC, West Kingston, RI 02892USA.	
	CC	AMERICAN POWER CONVERSION, "Introducing the UPS to build your business on...", October 1993, #996-0207-A, APC, West Kingston, RI 02892USA.	
	CD	AMERICAN POWER CONVERSION "Application Note #A2", October 1993, APC, West Kingston, RI 02892USA.	
	CE	AMERICAN POWER CONVERSION, "Application Note #A6", October 1993, APC, West Kingston, RI 02892USA.	
	CF	AMERICAN POWER CONVERSION, "Internetworking Power Protection", January 1994, #996-0295, APC, West Kingston, RI 02892USA.	
	CG	AMERICAN POWER CONVERSION, "PowerNet™ SNMP Adapter", January 1994, #996-0126, APC, West Kingston, RI 02892USA.	
	CH	AMERICAN POWER CONVERSION, "Solutions '94", February 1994, #996-0131, APC, West Kingston, RI 02892USA.	
	CI	AMERICAN POWER CONVERSION, "APC NetShelter", 1995, #996-0643-A, APC, West Kingston, RI 02892USA.	
	CJ	AMERICAN POWER CONVERSION, "PowerNet", September 1995, #996-0325-b, APC, West Kingston, RI 02892USA.	
	CK	AMERICAN POWER CONVERSION, "APC Smart-UPS RM", 1996, #996-0618-B, APC, West Kingston, RI 02892USA.	
	CL	AMERICAN POWER CONVERSION, "APC Smart-UPS XL", 1996, #996-0630-B, APC, West Kingston, RI 02892USA.	

Examiner Signature		Date	10/12/94
		C nsld red	

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		<b>Complete if Known</b>	
Sheet	3	of	4
		Application Number	
		Filing Date	
		First Named Inventor	Carrel W. EWING, et al.
		Group Art Unit	
		Examiner Name	
		Attorney Docket Number	MLF-600-14

1998 U.S. PTO  
 09/930780  
 08/15/01

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CM	AMERICAN POWER CONVERSION, "Smart-UPS", 1996, #996-0386-E, APC, West Kingston, RI 02892USA.	
	CN	AMERICAN POWER CONVERSION "PowerChute plus", 1996, #996-0041-C, APC, West Kingston, RI 02892USA.	
	CO	AMERICAN POWER CONVERSION, "Internetworking Power Protection", 1996, #996-0295-B, APC, West Kingston, RI 02892USA.	
	CP	AMERICAN POWER CONVERSION "UPS Accessories", 1996, #996-0411-C, APC, West Kingston, RI 02892USA.	
	CQ	AMERICAN POWER CONVERSION, "Application Notes", October 1996, #996-0495-C, APC, West Kingston, RI 02892USA.	
	CR	AMERICAN POWER CONVERSION, "PowerNet <sup>®</sup> ", 1998, #996-0325D, APC, West Kingston, RI 02892USA.	
	CS	B. Ewing and J. Mallory, "Power-ON/OFF-Product Information", 1990, Server Technology, Inc., Reno, Nevada.	
	CT	Raphael Needleman, "Power-ON/OFF Lets You Turn on Remote PCs by Phone", February 1991, Info World Impressions, V. 13, Issue 5.	
	CU	Server Technology, Inc., "Any-To-Any Matrix Communications Switch", 1996, Server Technology, Inc., Reno, Nevada.	
	CV	Server Technology, Inc., "Sentry Ambassador", 1996, Server Technology, Inc., Reno, Nevada.	
	CW	Server Technology, Inc., "LAN, WAN, Enterprise, Internet Access Equipment", 1996, Server Technology, Inc., Reno, Nevada.	
	CX	Server Technology, Inc., "SENTRYRACK", 1996, Server Technology, Inc., Reno, Nevada.	

Examiner Signature		Date Considered	10/12/04
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<p>Substitute for form 1449B/PTO</p> <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p> <p>Sheet <b>4</b> of <b>4</b></p>		<p style="text-align: center;"><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 50%;">Application Number</td><td style="width: 50%;"></td></tr><tr><td>Filing Date</td><td></td></tr><tr><td>First Named Inventor</td><td>Carrel W. EWING, et al.</td></tr><tr><td>Group Art Unit</td><td></td></tr><tr><td>Examiner Name</td><td></td></tr><tr><td>Attorney Docket Number</td><td>MLF-600-14</td></tr></table>	Application Number		Filing Date		First Named Inventor	Carrel W. EWING, et al.	Group Art Unit		Examiner Name		Attorney Docket Number	MLF-600-14
Application Number														
Filing Date														
First Named Inventor	Carrel W. EWING, et al.													
Group Art Unit														
Examiner Name														
Attorney Docket Number	MLF-600-14													

J0986 U.S. PTO  
09/19/01  
08/15/01

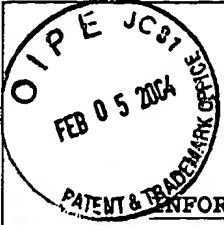
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CY	Server Technology, Inc., "SENTRYINTERNATIONAL", 1996, Server Technology, Inc., Reno, Nevada.	
	CZ	Server Technology, Inc., "SENTRY Communications and User Interface", 1996, Server Technology, Inc., Reno, Nevada.	
	DA	Server Technology, Inc., "SENTRYSHelf", 1996, Server Technology, Inc., Reno, Nevada.	
	DB	Server Technology, Inc., "SENTRY Power Modules", 1996, Server Technology, Inc., Reno, Nevada.	
	DC	Server Technology, Inc., "SENTRY ShutDown Remote Power Manager", 1997, Server Technology, Inc., Reno, Nevada.	
	DD	Server Technology, Inc., "SENTRY Administrator R-450 Remote Power Manager", 1999, Server Technology, Inc., Reno, Nevada.	
	DE	Server Technology, Inc., "How Do You ReBoot Remote Equipment?", 1999, Server Technology, Inc., Reno, Nevada.	
	DF	Server Technology, Inc., "SENTRY R-2000 Remote Power Manager", 1999, Server Technology, Inc., Reno, Nevada.	
	DG	Server Technology, Inc., "MasterSwitch™", 1996, Server Technology, Inc., Reno, Nevada.	
	DH	Server Technology, Inc., "Sentry Power Tower", 2000, Server Technology, Inc., Reno, Nevada.	
	DI	AMERICAN POWER CONVERSION, "MasterSwitch™plus", 12/6/2000, APC, West Kingston, RI 02892USA.	
	DJ	AMERICAN POWER CONVERSION, "Built-in Serial UPS Support In Windows 2000 Developed by APC", 12/6/2000, APC, West Kingston, RI 02892USA.	

Examiner Signature		Date Considered	10/12/04
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	FORM PTO-1449		Atty Docket 40026-XXX2	Serial No. 09/930,780
	INFORMATION DISCLOSURE CITATION		Applicant Carrel W. EWING, et al.	
			Filing Date Aug. 15, 2001	Group Art Unit 2154

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Issue Date	Name	Class	Sub-Class	Filing Date
<i>AB</i>	AA	4,051,326	Sep. 27, 1977	BADAGNANI, et al.			Aug. 17, 1976
	AB	4,206,444	Jun. 3, 1980	FERLAN			Jan. 2, 1979
	AC	4,356,545	Oct. 26, 1982	WEST			Aug. 2, 1979
	AD	4,442,319	Apr. 10, 1984	TREIDL			Feb. 26, 1981
	AE	4,611,289	Sep. 9, 1986	COPPOLA			Sep. 29, 1983
	AF	4,647,721	Mar. 3, 1987	BUSAM, et al.			Mar. 19, 1985
	AG	4,701,946	Oct. 20, 1987	OLIVA, et al.			Oct. 23, 1984
	AH	5,198,806	Mar. 30, 1993	LORD			Dec. 31, 1990
	AI	5,319,571	Jun. 7, 1994	LANGER, et al.			Nov. 24, 1992
	AJ	5,410,713	Apr. 25, 1995	WHITE, et al.			Jan. 2, 1992
	AK	5,596,628	Jan. 21, 1997	KLEIN			Apr. 12, 1996
	AL	5,862,391	Jan. 19, 1999	SALAS, et al.			Apr. 3, 1996
	AM	5,506,573	Apr. 9, 1996	EWING, et al.			Jun. 21, 1994

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation
<i>AD</i>	AO	PCT/US91/08543	May 27, 1993	WO			YES

Examiner <i>Ashok R</i>	Date Considered <i>10/12/04</i>
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FORM PTO-1449

## INFORMATION DISCLOSURE CITATION

Atty Docket  
40026-XXX2Serial No.  
09/930,780Applicant  
Carrel W. EWING, et al.Filing Date  
Aug. 15, 2001Group Art Unit  
2154

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AP	AMERICAN POWER CONVERSION, "Call-UPS", 1991, #996-0070, APC, West Kingston, RI 02892 USA.
AQ	AMERICAN POWER CONVERSION, "Measure-UPS", March 1993, #996-0127, APC, West Kingston, RI 02892 USA.
AR	AMERICAN POWER CONVERSION, "Introducing the UPS to build your business on...", October 1993, #996-0207-A, APC, West Kingston, RI 02892 USA.
AS	AMERICAN POWER CONVERSION, "Application Note #A2", October 1993, APC, West Kingston, RI 02892 USA.
AT	AMERICAN POWER CONVERSION, "Application Note #A6", October 1993, APC, West Kingston, RI 02892 USA.
AU	AMERICAN POWER CONVERSION, "Internetworking Power Protection", January 1994, # 996-0295, APC, West Kingston, RI 02892 USA.
AV	AMERICAN POWER CONVERSION, "PowerNet <sup>®</sup> SNMP Adapter", January 1994, #996-0126, APC, West Kingston, RI 02892 USA.
AW	AMERICAN POWER CONVERSION, "Solutions '94", February 1994, #996-0131, APC, West Kingston, RI 02892 USA.
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BA	AMERICAN POWER CONVERSION, "APC Smart-UPS XL", 1996, #996-0630-B, APC, West Kingston, RI 02892 USA.
BB	AMERICAN POWER CONVERSION, "Smart-UPS", 1996, #996-0386-E, APC, West Kingston, RI 02892 USA.
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BD	AMERICAN POWER CONVERSION, "Internetworking Power Protection", 1996, #996-0295-B, APC, West Kingston, RI 02892 USA.
BE	AMERICAN POWER CONVERSION, "UPS Accessories", 1996, #996-0411-C, APC, West Kingston, RI 02892 USA.

Examiner

*A. Shok P.*

Date Considered

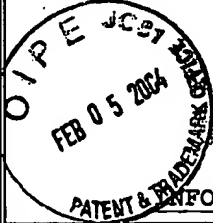

*10/12/04*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.  
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 Include copy of this form with next communication to Applicant.

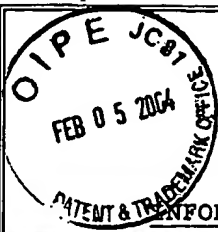
**RECEIVED**

FEB 10 2004

Technology Center 2100

		Atty Docket 40026-XXX2	Serial No. 09/930,780	
		Applicant Carrel W. EWING, et al.		
		Filing Date Aug. 15, 2001	Group Art Unit 2154	
FORM PTO-1449				
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)				
	BF	AMERICAN POWER CONVERSION, "Application Notes", October 1996, #996-0495-C, APC, West Kingston, RI 02892 USA.		
	BG	AMERICAN POWER CONVERSION, "PowerNet", 1998, #996-0325D, APC, West Kingston, RI 02892 USA.		
	BH	B. Ewing and J. Mallory, "Power-ON/OFF-Product Information", 1990, Server Technology, Inc., Reno, Nevada.		
	BI	Raphael Needleman, "Power-ON/OFF Lets You Turn on Remote PCs by Phone", February 1991, Info World Impressions, V. 13, Issue 5.		
	BJ	Server Technology, Inc., "Any-To-Any Matrix Communications Switch", 1996, Server Technology, Inc. Reno, Nevada.		
	BK	Server Technology, Inc., "Sentry Ambassador", 1996, Server Technology, Inc. Reno, Nevada.		
	BL	Server Technology, Inc., "LAN WAN, Enterprise, Internet Access Equipment", 1996, Server Technology, Inc., Reno, Nevada.		
	BM	Server Technology, Inc., "SENTRYRACK", 1996, Server Technology, Inc., Reno, Nevada.		
	BN	Server Technology, Inc., "SENTRYINTERNATIONAL", 1996, Server Technology, Inc., Reno, Nevada.		
	BO	Server Technology, Inc. "SENTRY Communications and User Interface", 1996, Server Technology, Inc., Reno, Nevada.		
	BP	Server Technology, Inc., "SENTRYSHelf", 1996, Server Technology, Inc., Reno, Nevada.		
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	BT	Server Technology, Inc., "How Do You ReBoot Remote Equipment?", 1999, Server Technology, Inc., Reno, Nevada.		
	BU	Server Technology, Inc., "SENTRY R-2000 Remote Power Manager", 1999, Server Technology, Inc., Reno, Nevada.		
BV	Server Technology, Inc., "MasterSwitch", 1996, Server Technology, Inc., Reno, Nevada.			
Examiner	<i>Ashok P</i>		Date Considered	10/12/04
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Technology Center 2100



FORM PTO-1449

## INFORMATION DISCLOSURE CITATION

Atty Docket  
40026-XXX2Serial No.  
09/930,780Applicant  
Carrel W. EWING, et al.Filing Date  
Aug. 15, 2001Group Art Unit  
2154

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Issue Date	Name	Class	Sub-Class	Filing Date
<i>AP</i>	BW	4,101,878	Sep. 7, 1999	SHIMIZU, et al.			Oct. 4, 1976
	BX	4,495,568	Jan. 22, 1985	GILBERT, et al.			Dec. 23, 1981
	BY	4,709,318	Nov. 24, 1987	GEPHART, et al.			Oct. 22, 1986
	BZ	4,780,714	Oct. 25, 1988	MOUSTAKAS, et al.			Jul. 21, 1986
	CA	4,937,561	Jun. 26, 1990	SASAKI, et al.			Jan. 18, 1989
	CB	5,374,922	Dec. 20, 1994	EBERSOHL			Oct. 14, 1992
	CC	5,412,645	May 2, 1995	YOUNKIN, et al.			Aug. 9, 1991
	CD	5,481,730	Jan. 2, 1996	BROWN, et al.			Jan. 24, 1992
	CE	5,485,576	Jan. 16, 1996	FEE, et al.			Jan. 28, 1994
	CF	5,495,607	Feb. 27, 1996	PISELLO, et al.			Nov. 15, 1993
	CG	5,561,769	Oct. 1, 1996	KUMAR, et al.			Sep. 5, 1995
	CH	5,585,678	Dec. 17, 1996	DIJK, et al.			Apr. 8, 1994
	CI	5,761,084	Jun. 2, 1998	EDWARDS			Jul. 31, 1996
	CJ	5,781,434	Jul. 14, 1998	TOBITA, et al.			Oct. 13, 1995
	CK	5,717,934	Feb. 10, 1998	PITT, et al.			Oct. 28, 1994
	CL	5,652,893	Jul. 29, 1997	BEN-MEIR, et al.			Dec. 13, 1994

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation
<i>AP</i>	CM						

Examiner

*Ashtok P.*

Date Considered

*10/12/01*  
FEB 10 2004

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## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	CN	W. Richard Stevens. "TCP/IP Illustrated, vol. 1 - The Protocols", pp. 359-361, 1994.
	CO	Michael Slater. "Microprocessor-Based Design - A Comprehensive Guide to Hardware Design", pp. 19-24, 1989.
	CP	Peter Drake. "Using SNMP to Manage Networks", pp. 2/1-2/4, 1991.
	CQ	Novak, T. "Remote Management of Individual Power Supplies", netman.cit.buffalo.edu/CDN-M, p. 1, May 10, 1995.
	CR	Uninterruptable Power Source FAQ, v. 1.0, pp. 1-10, February 10, 1994.
	CS	Davison, M., et al. UPS Management Information Base, Internet Draft, IETF, pp. 1-28, May 13, 1992.
	CT	Sentry Ambassador Remote Power Manager © 1996.
	CU	Sentry R-2000 Remote Power Manager © 2001.
	CV	Sentry 110/230 VAC Product Family © 2000.
	CW	Sentry Power Manager - 48 VDC Product Family © 2000.
	CX	Distributed Power Module Product List, Sep. 23, 2002.
	CY	Sentry Power Modules © 1999.
	CZ	Sentry Power Tower Products © 2001/2002.
	DA	Sentry Expanded Function Power Tower (PTEF) © 2001/2002.
	DB	Sentry Serial Power Tower (PTSS) © 2001/2002.
	DC	Sentry Power Tower Power Distribution © 2001/2002.

Examiner

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## INFORMATION DISCLOSURE CITATION

Atty Docket  
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## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

DD	Sentry Commander R-400 Remote Power Mgr. © 2001/2002.
DE	Sentry Commander R-400 Remote Pwr. Mgr. Datasheet © 1999.
DF	Sentry Administrator R-450 © 2001/2002.
DG	Sentry Administrator R-450 Remote Pwr. Mgr. © 1998.
DH	Remote Power On/Off, Installation and Operations Manual, © 1991.
DI	Sentry Remote Power Manager brochure © 1991.
DJ	Power-On product wrapper © 1991.
DK	Remote Power On/Off product wrapper © 1991.
DL	Intelligent Power Module © 1991.
DM	Local and Remote Power On/Off Alternatives © 1991.
DN	NEW BOX Specification, dated March 6, 1991.
DO	RPM-15 Power Module Specification, March 18, 1991.
DP	Sentry Remote Power Manager, Operational Instructions, Sep. 24, 1992.
DQ	"Keeping Up With. . .", INTERNET TELEPHONY, Mar. 2000, pp. 84-87.
DR	"Rebooting Across the Net", PC Magazine, May 5, 1998.
DS	"Server Technology Sentry R-2000", PC Magazine, May 5, 1998.
DT	"APC MasterSwitch", PC Magazine, May 5, 1998.

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